

## Problem Set 4

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Due: Wednesday, March 2, 2022 at 10:30am

You may discuss the problems with your classmates, however **you must write up the solutions on your own and list the names** of every person with whom you discussed each problem.

Start **every** problem on a separate page. **Any problem submitted by 11:59pm Friday February 25, 2022 will receive an additional 10% of the score you receive on that problem.**

## 1 Parallel Search (50 pts)

In lecture we have learned how to search for some value  $x$  in a multiway  $(p + 1)$ -way tree in  $O(\log_{p+1} n)$  time using  $p$  processors. We also saw a relationship between binary search in a sorted array and a search in a balanced binary search tree (BST). There is a similar relationship between parallel  $(p + 1)$ -way searching in a sorted array and searching in a balanced multiway  $(p + 1)$ -way search tree. In this problem you will design a parallel algorithms to search for a value  $x$  in a sorted array in  $O(\log_{p+1} n)$  time and  $O(p \log_{p+1} n)$  work without constructing the search tree.

Recall the definition of a  $(p + 1)$ -way search tree: Each node  $v$  of the search tree contain  $p$  keys,  $key[1..p]$ , and  $p + 1$  pointers to  $p + 1$  children,  $child[0..p]$ . Then in a valid  $(p + 1)$ -way search tree, every node  $v$  stores in the subtrees rooted at the  $i$ -th child, the following elements:

- All keys stored in the subtree rooted at  $child[0]$  are smaller or equal to  $key[1]$ .
  - If  $1 \leq i \leq p - 1$ , all keys stored in the subtree rooted at  $child[i]$  are greater than  $key[i]$  and smaller than or equal to  $key[i + 1]$
  - All keys stored in  $child[p]$  are greater than  $key[p]$ .
- (a) **(10 pts)** One way to search in a sorted array of items is to first construct a balanced  $(p + 1)$ -way search tree on the elements of the array. Given a sorted array  $A[1..n]$  of  $n$  items, which elements of  $A$  will be in the root of the tree? Make sure your answer works for any  $p$ , not just a multiple of  $n$ , including  $p > n$ .
- (b) **(10 pts)** Let  $A[l] = key[i]$  and  $A[r] = key[i + 1]$  in a node  $v$  of a search tree. Which elements of array  $A$  would be stored in the subtree rooted at  $child[i]$  of  $v$ ?
- (c) **(30 pts)** Design an  $(p + 1)$ -way searching algorithm on a sorted array **without** explicitly constructing the search tree. Write down the pseudocode and prove its correctness. Analyze the time of your algorithm if it were implemented in a  $p$ -processor CREW PRAM model. *Hint: generalize binary search to  $(p + 1)$ -way search using the information from parts (a) and (b).*

MULTIWAYSEARCH( $x, A[l..r], p$ )

▷ Returns the largest index  $i$ , such that  $A[i] \leq x$

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## 2 Parallel Merging (50 pts)

In lecture we have seen a work-efficient merging algorithm that runs in  $T(n) = O(\log n)$  time and  $W(n) = O(n)$  work. To achieve these bounds, the algorithm ensured that each subproblem that was solved sequentially at the base case was on subarrays  $B_i$  and  $C_i$  of size at most  $\log n$  elements, each. However, each  $B_i$  or  $C_i$  could be smaller than  $\log n$  elements, resulting in some processors doing less work than others. While asymptotically speaking it results in  $T(n) = O(\log n)$  time, constant factors do matter in practice and, ideally, each processor would perform exactly the same amount of work. In this problem you will design a merging algorithm where the work among the processors is perfectly balanced, i.e., each processor will (sequentially) merge the subarrays  $B_i$  and  $C_i$ , such that  $|B_i| + |C_i| = \log n$ . That is the output array  $A_i$  of each processor will have **exactly**  $\log n$  elements.

Let  $B$  and  $C$  be two sorted arrays, each containing  $n$  elements.

- (a) **(5 pts)** Write down pseudocode for a **sequential** algorithm to merge the two arrays into another array  $A$  of size  $2n$ . Your algorithm should run in time  $T_1(n) = O(n)$  time.
- (b) **(15 pts)** Let  $A = B \cup C$  be the merge of  $B$  and  $C$  and let  $x$  be the median of  $A$ , i.e.,  $\text{RANK}(x, A) = n$ . Design an  $O(\log n)$ -time **sequential** algorithm to find  $x$  **without computing**  $A$ . Your algorithm should find the indices  $i = \text{RANK}(x, B)$ , and  $j = \text{RANK}(x, C)$ . Note that your algorithm doesn't know the value of  $x$ , but only its rank in  $A$ .

Write down the pseudocode for your algorithm, prove its correctness, and analyze its running time. Don't forget to state your invariant/inductive hypothesis before using/proving it.

*Hint: Use binary search. That is start with  $i = \lceil \frac{n}{2} \rceil$  and  $j = \lceil \frac{n}{2} \rceil$  and reason about where  $x$  can and cannot be in  $B$  and  $C$  relative to  $B[i]$  and  $C[j]$ . To solve this problem, you should understand **why** binary search works and use a similar argument.*

- (c) **(5 pts)** Design a parallel algorithm for 2 processors that merges the arrays  $A$  and  $B$  into another array  $C$  and runs in time  $T_2(n) = \frac{T_1(n)}{2} + O(\log n)$ . Analyze the running time of your algorithm. (*Hint: use the median computation from part (b) to make sure that each processor compares at most  $n$  elements during the merging step.*)
- (d) **(10 pts)** Now let  $x$  be the  $k$ -th smallest element in  $A = B \cup C$ , instead of the median. Design an  $O(\log n)$ -time **sequential** algorithm to find the indices  $i = \text{RANK}(x, B)$ , and  $j = \text{RANK}(x, C)$  without knowing the value of  $x$  and without computing  $A$ . Write down the pseudocode, prove its correctness and analyze its running time. *Hint: generalize your solution in part (b).*
- (e) **(10 pts)** Design a parallel algorithm that computes the merged array  $A = B \cup C$  in time  $\lceil \frac{T_1(n)}{p} \rceil + O(\log n)$  using  $p$  processors. Analyze the running time of your new algorithm. *Hint: Generalize your solution in part (c) to work with  $p$  processors, instead of just 2.*
- (f) **(5 pts)** What is the maximum value of  $p$  for which your algorithm in part (e) remains work-optimal? What does this mean about the time  $T(n)$  and work  $W(n)$  of your merging algorithm (without the mention of  $p$ )?