## Outline

- trees
- expression trees
- binary tree traversal
- binary search trees
- binary search tree algorithms: add, remove, traverse
- binary node class

#### trees

- imagine having to store, in an organized fashion, all the descendants of a given person
- as in this family tree:



image by Derrick Coetzee, in entry "family tree" in Wikipedia

## trees in computer science

trees can hold any kind of data, even numbers:
3
10
1
6
7
1

image by Derrick Coetzee, in entry "Search Tree" in Wikipedia

- a node in a tree is similar to a node in a linked list, except:
  - a linked list node has a reference to zero or one link nodes, whereas
  - a tree node has a reference to zero or more other tree nodes
- later we will consider how trees are implemented

### tree properties

- a tree has one <u>root</u> node, from which all other nodes can be reached by following links
- each node in a tree, except the root node, has exactly one parent
- each node in a tree can have zero or more <u>children</u>
- the other children of a node's parent are the node's siblings
- nodes are in a hierarchical relationship:
  - node X is an ancestor of another node Y, or
  - node X is a descendant of another node Y, or
  - node X is on a different branch than node Y
- nodes without children are <u>leaf nodes</u>
- nodes with children and a parent are interior nodes

### tree properties exercise

in-class exercise (everyone together): identify the

- root node
- interior nodes
- leaf nodes
- parent of node 6
- children of node 6
- ancestors of node 9
- descendants of node 7



image by Derrick Coetzee, in entry "Tree (data structure)" in Wikipedia

## more tree definitions

- a <u>subtree</u> is a node with all its descendants
- the level of a node is the number of its ancestors (including itself), so e.g. the root is always at level 1:
  - the children of the root are at level 2
  - their children are at level 3
  - the children of a node at level n are at level n+1
- sometimes the word <u>depth</u> is used instead of level
  - sometimes the level or depth does not include the node itself, so the root is at level 0
- the <u>height</u> of a tree is the maximum depth of any node in the tree
  - this may also be called the depth of the tree
- in a <u>binary tree</u> each node has at most two children
- likewise, in a <u>ternary tree</u> each node has at most three children

# types of binary trees

 in a <u>balanced binary tree</u> the height of each subtree of every node differs by at most one

(this is a recursive definition)

there are also other definitions of balanced binary trees

- in an <u>expression tree</u>, each internal node contains an operator, and each leaf node is an operand (value)
  - subtrees are evaluated first, yielding a value. Once all its children have been evaluated, we can evaluate a node
- in a <u>binary search tree</u> each node has a value greater than every node in its left subtree, and less than every node in its right subtree.

## more types of binary trees

- a <u>perfect binary tree</u> of height n has 2<sup>n</sup> 1 nodes, of which 2<sup>n-1</sup> are leaves
- a <u>complete binary tree</u> is a perfect binary tree up to the last level. All the leaves at the lowest level are as far to the left as possible
- in a <u>full binary tree</u>, each node has either 0 or two children (non-leaf nodes are full)
- in a <u>Huffman tree</u>, each internal node has two children. Each leaf node represents something to encode, such as a letter. The binary string to encode the letter is found by going down the tree from the root to the leaf. Each time we go left contributes a 0 bit to the code, and each time we go right contributes a 1 bit to the code.

- perfect binary trees and complete binary trees are always balanced
- a Huffman tree is always a full binary tree

### expression trees

- an expression tree is a tree where:
  - every node with children is an operator
  - every leaf node is an operand
- each operator operates on the values of its children
- an expression tree corresponding



## binary tree traversals

- if we want to visit each node in a binary tree in <u>depth-first order</u>, we have a choice of how to do it:
  - preorder traversal: visit the root node, then recursively visit the left subtree, then the right subtree
  - inorder traversal: recursively visit the left subtree, then visit the root node, then recursively visit the right subtree
  - postorder traversal: recursively visit the left subtree, then the right subtree, then the root node
- what does "visit a node" mean?
  - could mean printing the value
  - could mean saving the value in a data structure

### binary tree traversal exercises

• do pre-order, inorder, and post-order traversals of these trees



### expression tree traversal exercise

 do a pre-order, in-order, and post-order traversal of this tree



## binary search trees

• in-class exercise: how do we know this is a binary search tree?



image by Derrick Coetzee and Booyabazooka, in entry "Binary search tree" in Wikipedia

## binary search in binary search trees



image by Derrick Coetzee and Booyabazooka, in entry "Binary search tree" in Wikipedia

- binary search (for value x) is now much easier:
  - if the value in the root is x, we are done
  - otherwise, if x < the value in the root, search in the left subtree
  - otherwise, x > the value in the root, so search in the right subtree
  - if the subtree we need to search is empty, x is not in the tree
- note this is a recursive definition
- in-class exercise: what is the runtime of this algorithm?

## binary search tree properties

- adding, finding (get), or removing a node are all O(depth), which, if the tree is balanced, is O(log n), with n the number of nodes
  - so binary search in a binary search tree is O(n) unless the tree is balanced
  - in a sorted array, binary search is always O(log n), inserting is O(n)
- binary search trees are an efficient way to search data and to sort data, as long as the trees remain balanced
- an unbalanced binary tree might look like a linked list
- in-class exercise: what is the runtime of the get method when the tree is not balanced?
- data can be identified with a unique key
- in-class exercise: add everyone's name to a search tree (in groups of five-ten people)
- in-class exercise: is the resulting tree balanced?

## binary search tree add operation

- if key is less than the key of the current node, recursively add to the left subtree
- if key is greater than the key of the current node, recursively add to the right subtree
- otherwise, add at this node:
  - if there is no current node, create one and return it
  - if there is a current node, replace its contents with the new contents
- this assumes:
  - the method returns a new root for the new (sub)tree with the desired value inserted
  - the caller of this method knows what to do with the new root
- in-class exercise: create a new binary tree using as keys the following letters, in the order given: "hello world". These keys each carry the value 1, 2, 3, 4, 5, 6, 7 8, 9, 10, 11

## binary search tree remove operation

- find node with the given key
- if the node is a leaf node, delete it, return null
- if the node only has a left subtree, return the left subtree
- if the node only has a right subtree, return the right subtree
- if the node has both subtrees, must replace it with another node that fits in the slot

## removing a node that has both subtrees

- the rightmost (largest-valued) node in the left subtree can be put in place of the current node without altering the sorted property
- likewise, the leftmost (smallest-valued) node in the right subtree can be put in place of the current node
- that node might have a left (right) subtree, which can be used in its old position
- the rightmost node in the left subtree is the in-order predecessor of the current node
- the leftmost node in the right subtree is the in-order successor of the current node
- so either can be used

## node removal exercises

- in-class exercise (everyone together): delete node 17 from this tree
- in-class exercise (individually): delete node 14 from the original tree
- in-class exercise (individually): delete node 31 from the original tree



## **BinaryNode class**

- a singly-linked list node has (at most) one reference to another node
- a binary tree node has (at most) two references to other nodes
- for example, see BinaryNode.java
- both types of nodes also need a reference to the locally stored value (possibly including a key)
- data fields are item, left, right
- methods include constructors, accessor methods, mutator methods, toString
- test program builds small tree, tests the methods

## **BinaryNode exercises**

- in-class exercise: write a recursive static method to print in postorder a tree, given its root
- in-class exercise: build the following tree using the methods from class BinaryNode
  +

