# Subject Agreement versus Subject Pronoun Kamil Ud Deen University of Hawai'i at Mānoa

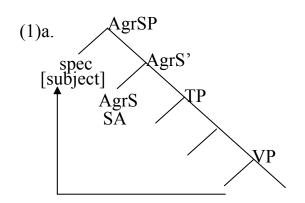
#### 1. Introduction

Bresnan & Mchombo (1987) → Seminal article on the status of agreement in Chicheŵa

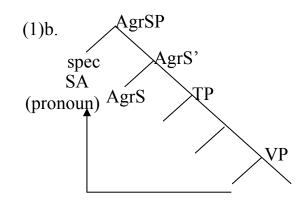
Keach (1995) → Applied B&M's methodology to varieties of Swahili, concluding that SA in Swahili behaves both as agreement as well as pronoun.

Zwart (1997) Argues essentially for an auxiliary analysis of the T marker, and then suggests that SA is a pronoun cliticized to the auxiliary verb.

I argue against the pronominal analysis of subject agreement.

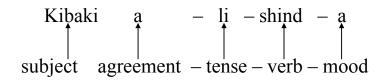


Agreement Analysis

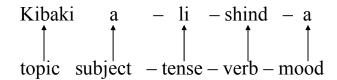


**Pronominal Analysis** 

(2) Kibaki a – li – shind – a Kibaki SA<sub>3s</sub> – past – win – IND 'Kibaki won.'



Agreement Analysis:



**Pronominal Analysis** 

# 2. Keach (1995)

Keach has three analyses that have conflicting results:

- (i) HU-tense marker
- (ii) Subject wh- questions
- (iii) Idioms

#### 2.1 HU-Tense marker

- (3) a. watu wa Kenya i wai na wa pend a watoto people of Kenya SA<sub>3pl</sub>-pres-OA<sub>3pl</sub>-like-IND children 'People of Kenya like children'
  - b.  $wa_i na wa pend a$  watoto  $availia watu wa Kenya is SA_{3pl}$ -pres- $OA_{3pl}$ -like-IND children people of Kenya '(They) like children, people of Kenya'
  - c. watu wa Kenya i ni na fikir i kuwa wa<sub>i</sub>–na wa–pend–a watoto people of Kenya SA<sub>1s</sub>-pres-think-IND that SA<sub>3pl</sub>-pres-OA<sub>3pl</sub>-like-IND children 'People of Kenya, I think that, (they) like children.'

According to Keach, these examples are compatible with both a pronominal and an agreement analysis. Under the agreement analysis, agreement occurs before movement, and then the subject DP is moved leftward or rightward as normal. Under a pronominal analysis theta role assignment occurs directly to SA, and is then transmitted through a chain to the overt DP.

In Habitual HU-clauses, SA is obligatorily absent:

- (4) a. Watu wa Kenya hu wa pend a watoto people of Kenya hab-OA<sub>3pl</sub>- like IND children 'People of Kenya like children'
  - b. \*Watu wa Kenya wa hu wa pend a watoto people of Kenya SA<sub>3pl</sub>-hab-OA<sub>3pl</sub>- like IND children 'People of Kenya like children'

In HU-clauses, where SA is absent, postverbal subjects and the raising of subject to matrix topic position are ungrammatical as illustrated in examples (5a,b):

- (5)a. \* Hu wa pend a watoto, watu wa Kenya hab-OA<sub>3pl</sub>- like IND children people of Kenya 'like children, people of Kenya'
  - b. \*Watu wa Kenya ni–na–fikir i kuwa hu wa pend–a watoto people of Kenya SA<sub>1s</sub>-pres-think-IND that hab-OA<sub>3pl</sub>- like IND children 'People of Kenya, I think that, like children'

# 2.2 Subject Wh- Questions

Principles from Bresnan & Mchombo (1987), used by Keach (1995):

- (6) i. Relative pronouns bear TOPIC function;
  - ii. Questioned constituents bear FOCUS function;
  - iii. An argument cannot bear both TOP and FOC function in the same clause.

Swahili subject wh-questions:

(7) nani<sub>i</sub> a<sub>i</sub> – me –end–a? who SA<sub>3s</sub>-pr.prf-go-IND who has gone?

#### 2.3 Idioms

(8) a. Ni – li – fikir - i kuwa mtindi u - me – va - a Asha SA<sub>1s</sub>-past-think-IND that brew SA<sub>3</sub>-pr.prf.-wear-IND Asha 'I thought that Asha is drunk' (lit: I thought that the brew has covered Asha)

b. \*Mtindi ni – li – fikir – i kuwa u – me – va - a Asha Brew SA<sub>1s</sub>-past-think-IND that SA<sub>3</sub>-pr.prf.-wear-IND Asha (lit: (As for) the brew, I thought that it has covered Asha)

## 3. Additional arguments against a pronominal analysis

### 3.1 Quantification

If SA is a pronominal subject, then the lexical overt subject must be a topic. One property of topics is that they cannot be quantifiers (Lasnik & Stowell, 1991; Rizzi, 1992):

- (9) a. I did everything
  - b. \*Everything, I did (it)
- (10) a. Nothing is impossible
  - b. \*Nothing, (it) is impossible

In Swahili, this restriction also holds. In (10a), the object (*kila kitu*) is in object position, and is ungrammatical when topicalized, as in (10b).

- (11) a. a li –nunu a kila kitabu SA<sub>3s</sub> –past–buy–IND every book 'She bought every book'
  - b. \* kila kitabu, a li (ki)–nunu– a [t] every thing SA<sub>3s</sub>–past–(OA<sub>7</sub>)–buy–IND [t] 'Every book, she bought'

Under a pronominal analysis of SA, the preverbal DP is in topic position, and so a quantifier should be ungrammatical, contra to fact:

- (12) a. kila mtoto a li nunu– a ki tabu every child SA<sub>3s</sub>–past–buy–IND 7–book 'Every child bought a book.'
  - b. kila ki–tabu ki li nunuli w a na mtoto every 7–book SA<sub>7</sub>–past– buy –passive–IND by child 'Every book was bought by a child.'

## 3.2 Answer to question

The answer to a question cannot be a topic:

- (13) a. Who arrived early?
  - b. ?? As for John, he arrived early
  - c. John arrived early

In Swahili, the preverbal DP can be the answer to a question:

- (14) a. nani a li fik a mapema who SA<sub>3s</sub>–past–arrive–IND early 'Who arrived early?'
  - b. ?? Juma, a li fik a mapema Juma, SA<sub>3s</sub>–past–arrive–IND early 'Juma, he arrived early.'
  - c. Juma a li fik a mapema Juma SA<sub>3s</sub>–past–arrive–IND early 'Juma arrived early.'

## 3.3 Typology of agreement and clitics

Among the languages of the Takic family, SA has been particularly well-studied in four languages: Luiseño, Cupeño, Serrano, and Cahuilla. In Luiseño, the unmarked word order is shown in (15a) (examples are from Steele, 1995), where the clitic (*up*) is in second position following the subject (*hengeemal*):

- (15) a. hengeemal up heyiq Subject-clitic-verb boy 3sg is:digging 'The boy is digging'
  - b. heyiq up hengeemal Verb-clitic-subject is:digging 3sg boy 'The boy is digging'
  - c. \* hengeemal heyiq up Subject-verb-clitic boy is:digging 3sg

In (15a), the unmarked order is subject-clitic-verb. According to Steele (1995, p.1227), (15b) with the verb preceding the clitic is semantically non-distinct from (15a). (15c) — where the clitic sequence is not second — is ungrammatical. This is also true of two of the other three most well-studied languages: Cupeño and Serrano. Thus the order of the clitic and verb is free, provided the clitic is in second position. However, Cahuilla, has a set of bound pronominal elements that are obligatorily preverbal. Thus the order clitic-verb is grammatical, but verb-clitic is ungrammatical irrespective of whether the clitic is in second position or not. These clitics are "generally taken to be prefixes rather than (pronouns)" (Steele, 1995, p.1227)

#### 4. Discussion and Conclusion

Why did Keach find ambiguous results?

Several possibilities: - Multiple sources

- Multiple dialects

Why are there no ambiguous results here?

- A single dialect (as best as can be determined)
- A somewhat newer and authentic variety of Swahili than Kiswahili Sanifu

What is happening in Swahili such that there is such a debate?

#### References

Ashton, Ethel Osteli (1947). Swahili Grammar. London, Longmans, Green and Co.

Baker, Mark (1985). "The Mirror Principle and Morpho-syntactic Explanation." *Linguistic Inquiry* **16**: 373-416.

Bresnan, Joan and Sam Mchombo (1987). "Topic, Pronoun and Agreement in Chichewa." *Language* **63**: 741-782.

Duran, James (1975). 'The Role of Swahili in a Multilingual Rural Community in Kenya.' Unpublished Doctoral Dissertation, Stanford University

Givón, Talmy (1976). Topic, pronoun and grammatical agreement. *Subject and Topic*. C. N. Li. London, Academic Press: 149-188.

Haddon, E. (1955). Swahili Lessons. Cambridge, W. Heffer and Sons.

Keach, Camillia (1995) Subject and Object markers as agreement and pronoun incorporation in Swahili. In Theoretical approaches to African Linguistics, Akinlabi, A. (Ed). African World Press: Trenton NJ. (1995). Subject and Object markers as agreement and pronoun incorporation in Swahili. *Theoretical approaches to African Linguistics*. A. Akinlabi. Trenton NJ, African World Press: 109-116.

Kinyalolo, Kasangati (1991). 'Syntactic Dependencies and the SPEC-Head Agreement Hypothesis in KiLega.' Unpublished Doctoral Dissertation, UCLA.

- Koopman, Hilda and Dominique Sportiche (1991). "The position of subjects." *Lingua* **85**: 211-258.
- Krifka, Manfred (1995). Swahili. *Syntax: Ein Internationales Handbuch Zeitgenossischer Forschung. An International Handbook Of Contemporary Research*. J. Jacobs, A. von Stechow, W. Sternefeld and T. Vennemann. Berlin, Walter de Gruyter. **2:** 1397-1418.
- Lasnik, Howard and Tim Stowell (1991). "Weakest Crossover." *Linguistic Inquiry* **22**: 687-720. Rizzi, Luigi (1982). *Issues in Italian Syntax*. Dordrecht, Foris.
- Rizzi, Luigi (1992). Early null subjects and root null subjects. *Language acquisition studies in generative grammar*. T. Hoekstra and B. Schwarz. Amsterdam/Philadelphia, John Benjamins: 151-176.
- Steele, Susan (1995). Clisis. In Jacobs, Joachim, von Stechow, Arnim, Sternefeld, Wolfgang, & Vennemann, Theo [Eds] syntax: ein internationales handbuch zeitgenossischer forschung. An international handbook of contemporary research, 2. Halbband /vol. 2, Berlin, Federal Republic of Germany: Walter de Gruyter, pp 1226-1235.
- Taraldsen, Knut Tarald (1978). *On the NIC, vacuous application and the that-trace filter.* Bloomington.
- Zwart, Jan Wouter (1997). 'Rethinking Subject Agreement in Swahili.' Manuscript, NOW/University of Groningen.